

SecuritiesSource™

What's in SecuritiesSource™

REGULATORY MATERIALS

SecuritiesSource has information from Securities Commissions or their equivalents, stock exchanges, and other self-regulating organizations. This information is organized by jurisdiction, issuing body and document type.

Alberta Securities Commission

- Publication of the ASC Summary was discontinued in 2001. SecuritiesSource continues to post ASC regulatory material on a weekly basis in a format resembling the former Summary. The full text of ASC Summaries from the first issue of 1999 (Vol. 8, Issue 1, Jan. 8, 1999) to the final issue of 2001 (Vol. 10, Issue 13, Mar. 30, 2001) are also accessible.
- The following ASC material can also be found on SecuritiesSource:
 - National and Alberta Rules, Policies and Notices (New Numbering System)
 - Policy Statements
 - Blanket Orders
 - Notices and Interpretation Notices
 - Alberta Securities Commission Personnel
 - Legislation

British Columbia Securities Commission

- Publication of the BCSC Weekly Summary was discontinued in 2000. SecuritiesSource continues to post BCSC regulatory material on a weekly basis in a format resembling the former Weekly Summary. The full text of BCSC Weekly Summaries from the first issue of 1999 (Vol. 8, Issue 1, Jan. 8, 1999) to the final issue of 2000 (Issue 00:35, Sept. 8, 2000) are also accessible.
- The following BCSC material can also be found on SecuritiesSource:
 - Uniform Numbering System Documents
 - Local Policy Statements
 - Blanket Orders and Rulings
 - Notices and Interpretation Notices
 - News Releases
 - Legislation

CANADIAN SECURITIES ADMINISTRATORS

All current National Instruments, Multilateral Instruments, National Policies, National Policy Statements (old numbering system), Uniform Act Policies, Canadian Securities Administrator Notices and Principles of Regulation are available on SecuritiesSource.

TSX Venture Exchange

The following material is available:

- Corporate Finance Manual
- TSX Venture Exchange Rules
- TSX Venture Exchange Policy Statements
- NEX

Investment Industry Regulatory Organization of Canada

The following material is available:

- IIROC Rule Book
- Decisions/Rulings since 2008

Investment Funds Institute of Canada

The following material is available:

- By-Laws
- Regulations
- Code of Practice

Mutual Funds Dealers Association

The following material is available:

- Rules
- By-Laws
- Policies
- Decisions/Rulings since 2004

Manitoba Securities Commission

- All current Rules Notice Policy Statements, Notices, and Blanket Orders
- **ICE Futures Canada**
- All current Rules, By-laws and Annexures

What's in SecuritiesSource™

New Brunswick Securities Commission

All current Policy Statements, Notices, Interpretation Notices, Instruments, Local Rules, Local Policies, Blanket Orders, By-Laws and National Policies.

Newfoundland Superintendent of Securities

All current Rules, Policy Statements and Blanket Orders.

Northwest Territories, Office of the Superintendent of Securities

All current Blanket Orders.

Nova Scotia Securities Commission

All current Nova Scotia Rules, Staff List, Policies, Blanket Orders, Blanket Orders Under the Companies Act, Notices and Interpretation Notes.

Nunavut Registrar of Securities

All current Blanket Orders.

Ontario Securities Commission

The newest OSC Bulletin is posted weekly. In addition, SecuritiesSource features an archive of all past issues of the Bulletin back to 1994.

The following OSC regulatory material is also accessible:

- By-Laws
- Policies
- Blanket Orders, Recognitions, Designations and Assignments
- Notices
- Requests for Comments
- Registration Section Clarification Notes
- Rules of Practice
- Rules of Procedure
- Rules

Toronto Stock Exchange (TSX)

The following materials are available:

- TSX Rule Book
- TSX Company Manual
- Regulatory Notices
- Notices
- Member Firms and Member Corporations

Prince Edward Island Securities Office

- Rules, Policies, Notices and Blanket Orders

Bourse de Montreal

Montreal Exchange Management and Members, List of Fees, Montreal Exchange Rules, Policies and Montreal Exchange Circulars (English versions). Amendments made by Circulars are incorporated on an ongoing basis but Circulars are only published for 2009, going forward.

Saskatchewan Financial Services Commission

Saskatchewan Executive Council News Releases, Securities Commission Table of Concordance, Staff List, National Instruments, National Policies, CSA Staff Notices, CSA News Releases, Local Policy Statements, Local Instruments, Staff Notices, Implementing Orders, General Rulings/Orders, Notices/Request for Comments, Business Practice Rules, Pre-Reformulation SSC Documents.

LEGISLATION

SecuritiesSource contains the Acts, related regulations and forms from all Canadian jurisdictions.

SecuritiesSource also includes documents that resemble legislation but are otherwise categorized as "Regulatory Materials".

Table of Concordance

SecuritiesSource contains a concordance of Securities Acts from all jurisdictions in Canada, providing a quick comparison of related sections. This concordance is updated monthly.

SecuritiesSource Legislation Collection

The following full-text statutes, rules and regulations can be found on SecuritiesSource.

Alberta

- *Securities Act*
 - Alta. Reg. 115/95 – Securities Regulation
 - Alta. Reg. 46-501 – Alberta Securities Commission Rules

British Columbia

- *Securities Act*
 - Securities Regulation

Manitoba

- *Securities Act*
 - Man. Reg. 491/88 – Securities Regulation
 - Man. Reg. 246/97 – Rule-Making Procedure Regulation

New Brunswick

- *Securities Act*
 - N.B. Reg. 2004-66 – General Regulation – *Securities Act*

Newfoundland and Labrador

- *Securities Act*
 - Nfld. Reg. 805/96 – Securities Regulation

Northwest Territories

- *Securities Act*
 - N.W.T. Reg. R-060-2008 – Promulgation of Rules Regulations
 - N.W.T. Reg. R-066-2008 – Securities Fees Regulations

Nova Scotia

- *Securities Act*
 - N.S. Reg. 201/87 – Securities Regulation
 - Community Economic-Development Corporations Regulations

Nunavut

- *Securities Act*
 - Nun. Reg. R-024-2008 – Promulgation of Rules Regulations
 - Nun. Reg. 20 (Supp.) – Securities Fees Regulations
 - Nun. Reg. S-5 – Securities Regulations

Ontario

- *Securities Act*
 - O. Reg. 261/92 – Exemptions Respecting The Algoma Steel Corporation, Limited
 - O. Reg. 85/05 – Exemptions Respecting the Ontario Financing Authority
 - O. Reg. 106/03 – Exemptions Respecting the Ontario Municipal Economic Infrastructure Financing Authority
 - O. Reg. 1015 – General Regulation
 - O. Reg. 261/02 – Toronto Stock Exchange Inc.

Prince Edward Island

- *Securities Act*
 - P.E.I. Reg. EC2008-57 – Securities Act Regulations

Québec

- *Securities Act*
 - Securities Regulation

Saskatchewan

- *Securities Act*
 - R.R.S., c. S-42.2, Reg. 3 – The Securities Commission (Adoption of National Instruments) Regulations
 - R.R.S., c. S-42.27 – The Securities Commission (Disclosure of Personal Information) Regulations, 2008
 - R.R.S., c. S-42.2, Reg. 5 – The Securities Commission (Local Instruments) Regulations
 - R.R.S., c. S-42.2, Reg. 2 – The Securities Commission (Regulation Procedures) Regulations
 - R.R.S., c. S-42.2, Reg. 1 – The Securities Regulations

Yukon

- *Securities Act*
 - 1976/176 – Securities Regulations

COMMENTARY

SecuritiesSource contains the commentary found in Volumes 1 and 2 of the *Securities Law and Practice* print service published by Carswell.

PRECEDENTS

SecuritiesSource contains a selection of key securities law precedents covering areas such as Public Offerings, Private Placements, and Take-Over Bids.

CASE LAW/RULINGS

SecuritiesSource contains securities-related case law dating back to 1855, including:

- all case law published in the Canadian Cases on the Law of Securities (CCLS)
- full-text rulings, orders, and decisions from the ASC and BCSC since 1998
- full-text rulings from the Ontario Securities Commission since 1994

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